





INVESTOR IN PEOPLE

The Patent Office Concept House Cardiff Road Newport South Wales NP10 8QQ

REC'D **2 0 APR 2004**WIPO PCT

PRIORITY DOCUMENT

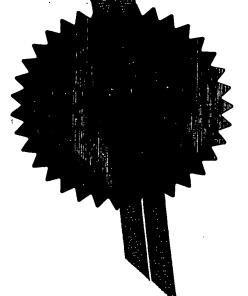
SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed

Manne

Dated

б April 2004

BEST AVAILABLE COPY





Request for grant of a patent

(See the union on the back of this form. You can also get an explanatory leaflet from the Patent Office to belp you fill to this form)

b) there is an impenior who is not named as an

e) any named applicant is a corporate body.

etiplicant or

See note (4))

The Patent Office

Cardiff Road Newport Gwent NP9 1RH

- MAR 2003 Your reference SMC 60575/GB/P1 24MAROJ E794526 1 D0294 Patent application number 0306657.8 P01/7700 0.00-0306657.8 (The Patent Office will fill in this part) 3. Full name, address and postcode of the or of Avecia Limited each applicant (underline all surnames) Hexagon House Blackley Manchester, M9 8ZS Parents ADP number (from know ii) 0776413700 If the applicant is a corporate body, give the country/state of its incorporation United Kingdom Title of the invention PROCESS AND COMPOUNDS Name of your agent (4 you bave one) REVELL, Christopher "Address for service" in the United Kingdom to which all correspondence should be sent Avecla Limited (including the postcody) Hexagon House PO Box 42 Blackley Manchester M9 8ZS Patents ADP number (if you know it) 7764137001 6969885001 If you are declaring priority from one or more Country Priority application number Dute of filing earlier patent applications, give the country (if you imper to (day / monto / year) and the date of filing of the or of each of these earlier applications and (1/100 know 4) the or each application number If this application is divided or otherwise Number of earlier application Date of filling derived from an earlier UK application, (day / month / yesr) give the number and the filing date of the earlier application is a statement of inventorship and of right to grant of a patent required la support of this request? (Answer Yer If. a) any applicant named in part 3 is not un impensor, or

00 50 50 2 77 1057900 **Paten** F**orm 1/77**

 Enter the number of sheets for any of the following items you are filing with this form.
 Do not count copies of the same document

Continuation sheets of this form

Description

Claim(s)

Abstract

15 3

3

Drawing(s)

 If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Pasents Form 7/77)

Request for preliminary examination and search (Pavens Porn 9/77)

Request for substantive examination (Parame Form 10/77)

Any other documents (please specify)

I/We request the grant of a patent on the basis of this application.

Signature

(lem)

Date 24/3/03

Avecia Limited Authorised Signatory

 Name and daytime telephone number of person to contact in the United Kingdom

K.M.Pinder/G.Terry 0161 721 1361/2

Warning

11.

After an application for a patent bus been filed, the Comptroller of the Patent Office will consider tubether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction but been revoked.

Notes

- s) if you need belp to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- b) Write your answers in capital latters using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s), Any continuation sheet should be attached to this form.
- a) If you have answered 'Yes' Patents Form 7/17 will need to be filed.
- e) Once you have filled in the form you must remember to sign and date it.
- f) For details of the fee and ways to pay please contact the Patent Office.

0:50 50-

APPLICANTS

AVECIA LIMITED

TITLE

PROCESS AND COMPOUNDS

10

15

20

25

30

35

PROCESS AND COMPOUNDS

The present invention concerns a method for the synthesis of oligonucleotides, oligonucleotide derivatives, and methods for the preparation thereof.

According to one aspect of the present invention there is provided an oligonucleotide comprising at least one internucleotide phosphorus atom protected with a group of formula $-X^aSIR^3R^4R^5$ wherein X^a represent O or S, and R^3 , R^4 and R^5 each independently are optionally substituted hydrocarbyl groups, selected such that that total number of carbon atoms in R^3 plus R^4 plus R^6 is 4 or more. Preferably at least 50%, more preferably at least 75% and most preferably 100% of the internucleotide phosphorus atoms are protected with a group of formula $-SIR^8R^4R^6$.

A particular embodiment of the present invention provides compounds of Formula (1):

$$\begin{array}{c|c}
R^{1} - X^{1} \\
X^{2} - P - X^{2} - SIR^{3}R^{4}R^{6} \\
X^{1} - R^{2}
\end{array}$$

Formula (1)

wherein:

R1 and R2 independently are nucleoside or oligonucleotide moieties;

 R^3 , R^4 and R^5 each independently are optionally substituted hydrocarbyl groups, selected such that total number of carbon atoms in R^3 plus R^4 plus R^5 is 4 or more;

Xª represents O or S, preferably O;

each X^1 independently is O, S or NRⁿ, where Rⁿ represents H or C₁₋₄ alkyl, preferably each X^1 being O; and

X2 is O or S, preferably S.

Nucleoside or oligonucleotide moleties that can be represented by R¹ and R² include deoxyribonucleosides, oligodeoxyribonucleotides, ribonucleosides, oligoribonucleoside, and oligonucleotides comprising mixtures of deoxyribo- and ribonucleosides. The nucleosides or oligonucleotides may be modified by one or modifications known in the field of oligonucleotide chemistry, for example ribonucleosides or oligoribonucleotides may be modified at one or more of the 2¹-positions by the presence of 2¹-alkoxy group, such as a methoxy or methoxyethoxy group. Deoxyribonucleosides or oligodeoxyribonucleotides may be modified at the 2¹-position by the presence of a substituent, such as a halo group, especially a fluoro group, or by an alkenyl group such as an allyl group. Abasic nucleoside moleties may also be present. In many



10

15

20

25

30

35

embodiments, the nucleosides or oligonucleotides represented by R¹ and R² will represent the natural D-isomer. However, either or both of R¹ and R² may represent an unnatural isomer, for example an L-isomer or a B-anomer, either in whole or in part. One or both of R¹ and R² may comprise one or more protecting groups. Examples of such protecting groups, and the positions which they can be employed to protect, are well known to those skilled in the art, and include trityl, monomethoxytrityl and dimethoxytrityl groups, levulinoyl groups, isobutyryl groups, benzoyl groups, acetyl groups and carbonate groups, such as BOC and especially FMOC.

In many embodiments, X^1 connects the 3'-position of a ribose or deoxyribose moiety of R^1 to the phosphorus, P. However, it will be recognised that X^1 may connect the 5'-position of a ribose or deoxyribose moiety of R^1 to the phosphorus, P.

In many embodiments, X^1 connects the 5'-position of a ribose or deoxyribose molety of \mathbb{R}^2 to the phosphorus, P. However, it will be recognised that X^1 may connect the 3'-position of a ribose or deoxyribose moiety of \mathbb{R}^2 to the phosphorus, P.

Either of R¹ and R² may be attached to a solid support, commonly via a cleavable linker. In many embodiments, R² is attached to a solid support via a cleavable linker, preferably via the 3'-position of a ribose or deoxyribose molety. Examples of cleavable linkers include base labile linkers such as succinyl linkers, and acid labile linkers such as trityl linkers.

Hydrocarbyl groups which can be represented by one or more of R³, R⁴ and R⁸ include any optionally substituted hydrocarbyl groups that allow the P(III) centre to react with a sulphurising agent or exidation agent, especially optionally substituted alkyl groups, optionally substituted anyl groups and mixtures thereof, such as aralkyl, especially benzyl, groups.

When at least one of R^3 , R^4 and R^5 represents an optionally substituted alkyl group, it is preferably an optionally substituted C_{1-12} alkyl, more preferably an optionally substituted C_{1-3} alkyl and particularly an optionally substituted C_{1-4} alkyl group.

When at least one of R³, R⁴ and R⁵ represents an optionally substituted any group, it is preferably an optionally substituted phenyl group.

R³, R⁴ and R⁵ may be the same or different.

Preferably the total number of carbon atoms in \mathbb{R}^3 , \mathbb{R}^4 and \mathbb{R}^6 is 5 or greater.

in a preferred embodiment one of R³, R⁴ and R⁵ is *tert*-butyl and the other two are methyl.

Optional substituents for R^s, R⁴ and R^s are preferably selected from the group consisting of alkyl (preferably C₁₋₄-alkyl), optionally substituted alkoxy (preferably C₁₋₄-alkoxy), optionally substituted aryl (preferably phenyl), optionally substituted aryloxy (preferably phenoxy), polyalkylene oxide (preferably polyethylene oxide or polypropylene oxide), carboxy, phosphato, sulpho, nitro, cyano, halo, ureido, -SO₂F, hydroxy, ester, -NR^sR^b, -COR^s, -CONR^sR^b, -NHCOR^s, carboxyester, sulphone, and -SO₂NR^sR^b wherein

 R^a and R^b are each independently H or optionally substituted alkyl (especially C_{1-a} -alkyl) or, in the case of -CONR a R b and -SO $_a$ NR a R b , R^a and R b together with the nitrogen atom to which they are attached represent an aliphatic or aromatic ring system; or a combination thereof.

Preferred compounds of Formula (1) include compounds of formula (2):

Formula (2)

10

15

20

25

In compounds of formula (2), X^a for each occurrence is independently -O- or -S-. Preferably X^a is O at each occurrence. X¹ for each occurrence is, independently, -O-, -Sor NRⁿ, where Rⁿ represents H or C₁₋₄ alkyl. Preferably, X¹ is -O- at every occurrence. X² for each occurrence is O or S, preferably S. X3 for each occurrence is, independently, -O-; -S-; -CH₂-, or -(CH₂)₂-. Preferably, X³ is -O- at every occurrence. In a more preferred embodiment, X1 and X3 are all -O- at every occurrence. R8 is H, an alcohol protecting group, an amino protecting group or a thic protecting group. Preferably, R^e is a protecting group which is removable under conditions orthogonal to the group of formula Xa-SIR³R⁴R⁵. R⁷ for each occurrence is, independently, -H, -F -OR⁶, -NR⁶R¹⁰, -SR¹¹, or a substituted or unsubstituted alliphatic group, such as methyl or allyl. R^{12} for each occurrence is, independently, a phosphorus protecting group, such as a group of formula -CH₂CH₂CN, a substituted or unsubstituted aliphatic group, -R¹³, -CH₂CH₂-Si(CH₃)₂C₆H₅, -CH₂CH₂-S(O)₂-CH₂CH₃ or -CH₂CH₂-C₀H₄-NO₂, provided that at least one R¹² represents a group of formula «SiR3R4R6, in which R3, R4 and R6 are as previously defined. In certain embodiments, each R12 represents a group of formula -SiR3R4R5. In certain other embodiments, only one R12 represents a group of formula -SiR3R4R5, advantageously being located at the 5'-terminal internucleotide phosphorus. R^B for each occurrence is, independently, -H, a substituted or unsubstituted aliphatic group (e.g., methyl, ethyl, methoxyethyl or allyl), a substituted or unsubstituted aryl group, a substituted or

SMC 60576

10

15

20

25

30

35

unsubstituted aralkyl, an alcohol protecting group, or -(CH₂)₀-NR*RY. R⁹ and R¹⁰ for each occurrence are each, independently, -H, a substituted or unsubstituted aliphatic group, or an amine protecting group. Alternatively, R⁹ and R¹⁰ taken together with the nitrogen to which they are attached are a heterocyclyl group. R¹¹ for each occurrence is, independently, -H, a substituted or unsubstituted aliphatic group, or a thio protecting group. R13 is for each occurrence is, independently, a substituted or unsubstituted aliphatic group, a substituted or unsubstituted anyl group or a substituted or unsubstituted aralkyl group. Rx and Ry are each, independently, -H, a substituted or unsubstituted anyl group, a substituted or unsubstituted heteroaryl group, a substituted or unsubstituted aliphatic group, a substituted or unsubstituted aralkyl group, a substituted or unsubstituted heterografikyl group or an amine protecting group. Alternatively, R* and R* taken together with the nitrogen to which they are attached form a heterocyclyl group. q is an integer from 1 to about 6. B is -H, a natural or unnatural nucleobase, or a protected natural or unnatural nucleobase. R¹⁴ is H a hydroxy protecting group, a thic protecting group, an amino protecting group, -(CH_z)_a-NR^xR^y, a solid support, or a cleavable linker attached to a solid support, such as a group of the formula -Y-L-Y-R16. Y for each occurrence is, independently, a single bond, -C(O)-, -C(O)NR¹⁸-, -C(O)O-, -NR¹⁶- or -O-, L is a linker which is preferably a substituted or unsubstituted aliphatic group or a substituted or unsubstituted aromatic group, for example a trityl group. More preferably, L is an ethylene group. R16 is -H, a substituted or unsubstituted aliphatic group or a substituted or unsubstituted aromatic group. R16 is any solid support suitable for solid phase oligonucleotide synthesis known to those skilled in the art. Examples of suitable solid supports include controlled-pore glass, polystyrene, microporous polyamide, such as poly(dimethylacrylamide), and polystyrene coated with polyethylene. embodiments, R¹⁴ represents a cleavable linker, such as a succinyl, oxaloyl or trityl linker, attached to a solld support. In is a positive integer, preferably from 1 to 100, for example up to 75, commonly up to 50, and particularly from 8 to 40.

Natural and unnatural nucleobases that can be represented by B include adenine, guanine, cytosine, thymine, and uracil and modified bases such as 7-deazaguanine, 7-deaza-8-azaguanine, 5-propynyleytosine, 5-propynyluricil, 7-deazaadenine, 7-deaza-8-azaadenine, 7-deaza-6-oxopurine, 6-oxopurine, 3-deazaadenosine, 2-oxo-5-methylpyrimidine, 2-oxo-4-methylpyrimidine, 2-thlocarbonyl-4-oxo-5-methylpyrimidine, 4-oxo-5-methylpyrimidine, 2-amino-purine, 6-fluorouricil, 2,6-diaminopurine, 8-aminopurine, 4-triazolo-5-methylpyrimide, 4-triazolo-5-methylpyrimidine.

According to a second aspect of the present invention, there is provided a process for the preparation of a compound of Formula (1) as defined above, which comprises oxidising or sulfurising a compound of formula (3):

10

15

20

25

30

35

wherein R1, R2, R3, R4, R5, X8 and X1 are as defined above.

Compounds of Formula (3) form another aspect of the present invention.

The sulfurization agent employed in the process according to the second aspect of the present invention is any agent able to add sulfur to compounds of Formula (3).

Preferably the sulfurization agent is an organic sulfurization agent.

Examples of organic sulfurization agents include 3H-benzodithiol-3-one 1,1-dloxide (also called "Beaucage reagent"), dibenzoyl tetrasulfide, phenylacetyl disulfide, N,N,N',N'-tetraethylthiuram disulfide, elemental sulfur, and 3-amino-[1,2,4]dithlazole-5-thione (see U.S. Patent No. 6,096,881, the entire teachings of which are incorporated herein by reference).

Typical reaction conditions for sulfurization of an oligonucleotide using the above agents can be found in Beaucage, et al., Tetrahedron (1993), 49:6123, which is incorporated herein by reference.

Preferred sulfurization reagents are 3-amino-[1,2,4]dithiazole-5-thione and phenylacetyl disulfide.

Suffurization of an oligonucleotide may be carried out by, for example use of a solution of 3-amino-[1,2,4]dithiazole-5-thione in an organic solvent, such pyridine/acetonitrile (1:9) mixture or pyridine, having a concentration of about 0.05 M to about 0.2 M.

The oxidising agent employed in the process according to the second aspect of the present invention is any agent able to add oxygen to compounds of Formula (3). Examples of oxidising agents include iodine and peroxides, such as t-butylhydroperoxide

Compounds of formulae (1), (2) and (3) may be prepared by the use of phosphoramidite chemistry, employing silyl phosphoramidites. Accordingly, a third aspect of the present invention comprises compounds of formula (4):

wherein R^1 , R^3 , R^4 , R^5 , X^a and X^1 are as previously defined, and R^{17} and R^{18} are each, independently, a substituted or unsubstituted aliphatic group, a substituted or unsubstituted aralkyl. Alternatively, R^{17} and R^{18} taken together with the nitrogen to which they are bound form a heterocyclyl group.

Preferred compounds of the third aspect of the present invention are compounds



10

15

20

25

of formula (5):

Formula (5)

wherein R^3 , R^4 , R^5 , R^7 , R^{17} , R^{18} , B, X^4 and X^8 are as previously defined, and R^{18} represents an alcohol, thiol or amino protecting group, preferably a protecting group removable under conditions orthogonal to the $OSIR^2R^4R^6$ group. In many embodiments, it is preferred that R^{17} and R^{18} are each alkyl groups, preferably C_{14} alkyl groups, and especially isopropyl groups.

Preferred compounds of formula (5) are compounds of formula (6):

Formula (6)

wherein R³, R⁴, R⁵ and B are as previously defined, R²o represents a protecting group, preferably a protecting group removable under conditions orthogonal to the group of formula O-SiR³R⁴R⁶, such as a carbonate protecting group, especially BOC or FMOC, and R²¹ represents H, OMe, OCH₂CH₂OCH₃, or OR²², and R²² represents a protecting group, known in the art for the protection of the 2'-hydroxy of ribonucleosides, and preferably a silyl, particularly a trialkylsilyl, and especially a tert-butyldimethylsilyl group. In particularly preferred compounds of formula (6), R³ and R⁴ represent methyl groups, and R⁵ represents a tert-butyl group. In certain embodiments, especially where a compound of formula (6) is employed to add the final nucleoside of a given oligonucleotide sequence, R²⁰ may represent a silyl protecting group; particularly a trialkylsilyl, and especially a tert-butyldimethylsilyl group.

Compounds of formula (4) wherein X⁸ is O can be prepared by a) reaction between a compound of formula R¹-X¹-H, wherein R¹ and X¹ are as previously defined, and a compound of formula Z-P(NR¹⁷R¹⁸)₂ wherein R¹⁷ and R¹⁸ are as previously defined and Z represents a leaving group, preferably a chlorine atom, to form a compound of formula R¹-X¹-P(NR¹⁷R¹⁸)₂; b) hydrolysing the compound of formula R¹-X¹-P(NR¹⁷R¹⁸)₂ to form a compound of formula R¹-X¹-PH(=O)(NR¹⁷R¹⁸), the hydrolysis preferably taking place in the presence of a weak acid, such as tetrazole, S-ethyltetrazole, or an imidazole salt; and c) reacting the compound of formula R¹-X¹-PH(=O)(NR¹⁷R¹⁸) with a silylating agent of formula Y¹-SiR³R⁴R⁶ wherein Y¹ is a leaving group to form the compound of formula (4). Examples of leaving groups which can be represented by Y include halogen, especially Cl and Br. Further examples of leaving groups include the residues from bis silylating agents, such as compounds of the formula:

wherein \mathbb{R}^8 , \mathbb{R}^4 and \mathbb{R}^5 are as previously defined.

Compounds of formula (4) can also be prepared by reaction between a compound of formula R¹-X¹-H, wherein R¹ and X¹ are as previously defined, and a compound of formula R³R⁴R⁵Si-X³-P(NR¹¹R¹¹²)₂ wherein X², R³, R⁴, R⁵, R¹¹ and R¹² are as previously defined. The compound of formula R³R⁴R⁵Si-X³-P(NR¹¹R¹²)₂ can be prepared by reaction between a compound of formula Z-P(NR¹¹R¹²)₂, where Z is as previously defined, and a compound of formula H-X³-SiR³R⁴R⁵, preferably in the presence of a base, especially a trialkylamine. Compounds of formula R³R⁴R⁵SI-O-P(NR¹¹R¹²)₂ may also be prepared by hydrolysis of a compound of formula Z-P(NR¹¹R¹²)₂, to form a compound of formula H-O-P(NR¹¹R¹²)₂, which is then reacted with a compound of formula Y¹-SiR³R⁴R⁵ wherein Y¹ is as described above.

According to a fourth aspect of the present Invention, there is provided a process for the preparation of a compound of formula (1) which comprises a) coupling a compound of formula (4) as defined above with a nucleoside or oligonucleotide comprising a free hydroxy or thiol group, of formula R²-X¹-H wherein R² and X¹ are as previously defined, and preferably a nucleoside or oligonucleotide comprising a free 5'-hydroxy group, in the presence of an activator, and b) oxidising or sulfurising the product of step a). In one embodiment, the process of the fourth aspect of the present invention comprises the coupling of a compound of formula (4) as defined above to add the final nucleoside in an oligonucleotide, the remaining nucleosides of which having been added using phosphoramidites comprising conventional phosphorus protecting groups, such as betacyanoethyloxy phosphoramidites.

009t201 St

5

10

15

20

25

30

35

Preferably the nucleoside or oligonucleotide comprising the free hydroxyl or thiol group is attached to a solid support, most preferably via a cleavable linker, preferably a trityl or succlnyl linker. It is particularly preferred that the attachment to the solid support is via the 3'-position of a ribose or deoxyribose moiety.

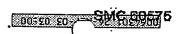
A preferred embodiment of the present invention comprises a sequence of processes of the fourth aspect wherein a series of compounds of formula (4) are sequentially coupled to a free hydroxy group to form a nascent oligonucleotide, a protecting group, most preferably a 5'-protecting group, is removed from the nascent oligonucleotide to form a free hydroxy group, which is then coupled with another compound of Formula (4) in the presence of an activator. The cycle can be repeated as often as desired until the desired oligonucelotide sequence has been assembled.

. The compound of formula (4) is advantageously employed as a solution in an inert solvent. Examples of such solvents suitable for use in phosphoramidite chemistry are well known in the art, and include in particular acetonitrile, dichloromethane, THF and pyridine.

Activators which can be employed in the process of the present invention are well known in the filed of oligonucleotide synthesis. Examples include tetrazole; S-ethyl tetrazole; pyridinium salts, imidazolinium salts and benzimidazolinium salts as disclosed in PCT application WO 99/62922 (incorporated herein by reference) and salt complexes formed between saccharin and organic amines, especially N-methyllmidazole, pyridine arid 3-methylpyridine.

According to a fifth aspect of the present invention, there is provided a process for the preparation of a deprotected oligonucleotide which comprises a) assembling a compound by a process according to the fourth aspect of the present invention, and removing the SiR³R⁴R⁵ groups. The SiR³R⁴R⁵ groups can be removed by methods known in the art for the removal of organosityl protecting groups, for example by treatment with a source of fluoride, such as ammonium fluoride, under basic, nucleophilic conditions. The SiR³R⁴R⁶ groups can be removed either before or after other protecting groups are removed. It will be recognised that this, together with the nature of the other protecting groups, may influence the choice of conditions employed. For example, the ${\rm SiR}^3{\rm R}^4{\rm R}^6$ groups may be removed by treatment with acetic acid, which treatment will also remove trityl-type protecting groups. When the oligonucleotide has been prepared whilst supported on a solid support, the SIR⁵R⁴R⁵ groups are commonly removed after cleavage of the oligonucleotide from the support.

A sixth aspect of the present invention provides a process for the synthesis of an oligonucleotide comprising at least one internucleotide phosphorus atom protected with a group of formula -X1SiR3R4R5, wherein X1 represents 0 or S, and R3, R4 and R5 each independently are optionally substituted hydrocarbyl groups, selected such that that total number of carbon atoms in R⁹ plus R⁴ plus R⁵ is 4 or more which comprises reacting a silylating agent of formula Y1-SiR3R4R6 as described above with an oligonucleotide H-



phosphonate diester.

Particularly preferred trihydrocarbylsilyl donors are tert-butyldimethylsilyl chloride, bis(tert-butyldimethylsilyl) acetamide and bis(tert-butyldimethyl)disilazane.

Preferred oligonucleotide H-phosphonate diesters are compounds of formula (7):

Formula (7)

10

15

20

25

30

35

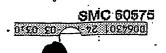
5

wherein \mathbb{R}^1 , \mathbb{R}^2 and X^1 are as previously defined.

Oligonucleotide H-phosphonate diesters can be prepared by methods well known in the art, for example by reaction between a nucleoside or oligonucleotide H-phosphonate monoester, and a nucleoside or oligonucleotide comprising a free hydroxylor thiol group.

Solvents which may be employed in the processes of the resent invention include: haloalkanes, particularly dichloromethane; esters, particularly alkyl esters such as ethyl acetate, and methyl or ethyl proplonate; nitriles, such as acetonitrile; amides, such as dimethylformamide and N-methylpyrollidinone; and basic, nucleophilic solvents such as pyridine. Preferred solvents are pyridine, dichloromethane, dimethylformamide, N-methylpyrollidinone and mixtures thereof. A particularly preferred solvent is pyridine. Organic solvents employed in the process of the present invention are preferably substantially anhydrous.

Supports for the solid phase synthesis of oligonucleotides are well-known in the art. Examples include silica, controlled pore glass, polystyrene, copolymers comprising polystyrene such as polystyrene-poly(ethylene glycol) copolymers and polymers such as polystyrene-poly(ethylene glycol) copolymers and polymers such as polyvinylacetate. Additionally, poly(acrylamide) supports, especially microporous or soft get supports, such as those more commonly employed for the solid phase synthesis of peptides may be employed if desired. Preferred poly(acrylamide) supports are amine-functionalised supports, especially those derived from supports prepared by copolymerisation of acryloyl-sarcosine methyl ester, N,N-dimethylacrylamide and bisacryloylethylenediamine, such as the commercially available (Polymer Laboratories) support sold under the catalogue name PL-DMA. The procedure for preparation of the supports has been described by Atherton, E.; Sheppard, R. C.; in Solid Phase Synthesis: A Practical Approach, Publ., IRL Press at Oxford University Press (1984) which is incorporated herein by reference. The functional group on such supports is a methyl ester and this is initially converted to a primary amine functionality by reaction with an alkyling and this is initially converted to a primary amine functionality by reaction with an alkyling and the supports in the support of the support of



10

15

20

25

30

diamine, such as ethylene diamine.

The processes for the synthesis of a trihydrocarbyl silyl phosphorothloate triester in the solid state may be carried out by stiming a slurry of the substrate bonded to the solid and comprising silyl phosphite linkages in a solution of sulfurization agent. Alternatively, the solid support can be packed into a column, and solutions of the sulfurization agent can be passed through the column.

The processes according to the present invention are preferably employed to produce oligonucleotides comprising at least one internucleotide phosphorus atom protected with a group of formula -X¹SIR®RªR® as defined above, which comprise 3 or more bases. Preferably the oligonucleotide comprises 5 to 75, more preferably from 8 to 50 and particularly from 10 to 30 internucleoside linkages. Commonly, the processes of the present invention are employed to prepare compounds wherein at least 50% of the internucleoside linkages are phosphorothloated, preferably at least 75%, and most preferably 90 to 100% of the internucleoside linkages phosphorothloated.

When the processes according to the present invention are used to produce oligonucleotides then the conditions used are any of those known in the art.

On completion of the assembly of the desired product, the product may be cleaved from the solid support, using cleavage methods appropriate for the linker, preferably following deprotection of the product.

The trihydrocarbyl silyl phosphorothicate product of the process can be purified using one or more standard techniques known in the art, such as, ion-exchange chromatography, reverse phase chromatography, precipitation from an appropriate solvent and ultra-filtration.

Many of the compounds used herein may exist in the form of a salt. These salts are included within the scope of the present inventions.

The compounds described herein may exist in tautomeric forms other than those shown in this specification. These tautomers are also included within the scope of the present inventions.

The invention will now be illustrated without limitation by the following examples.

Liquid Chromatography Analysis

In the examples analysis by liquid chromatography used the following protocol:

35 All samples were prepared in acetonitrile;
The chromatography media was Genesis C18, 120A, 4μ;
The dimensions of the column were 25 × 0.46 cm;
The flow rate was 1.0 ml / minutes;
The detector was set at 270 nm;

00:50 50-35-47 1057900

The run time was 30 minutes:

The elution system used the following solvents:

0 minutes = 80% 0.1% aqueous ammonium acetate buffer: 20% acetonitrile

20 minutes = 100% acetonitrile

22 mlnutes = 100% acetonitrile

30 minutes = 80% 0.1% aqueous ammonfum acetate buffer: 20% acetonitrile.

In the examples the following abbreviations are used:

10	BMTBSA BSA DCM	N.O-Bis(tert-butyldimethylsllyl)acetamide N.O-Bis(trimethylsilyl)acetamide Dichloromethane
	· DMF	N,N-Dimethylformamide
	· DMT .	4,4'-Dimethoxytrityl
· 15	PADS .	Diphenyldithiocarbamate
	TBDMSCI	tert-Butyldimethylsilyl chloride
	TEAP	Triethylamine phosphate
	THF	Tetrahydrofuran
	TMS	Trimethyl silyl
20		

Example 1

Stage 1

Preparation of 3M aqueous triethylamine phosphate (TEAP)

25 0 - 5°C. Phosphoric acid (180 g) was added slowly to the stirred mixture until the pH was in the range of pH 7 to 7.5 was reached. The solution was then transferred to a 1L volumetric flask and diluted to 1L with water. Prior to use TEAP was diluted with water as required.

30 <u>Stage 2</u>

Preparation of N⁴-benzoyl-5'-Q-(4.4'-dimethoxytrityl)-2'-deoxy-3'-(hydrogen phosphate)cytidine triethylammonium salt (DMT-Bz-C-H-Phos)

10

15

20

25

THF (416 ml) and 1H,1,2,4-triazole (16.1 g) were charged to a 1L round-bottomed flask filted with a thermometer, condenser, nitrogen inlet and overhead stirrer. The solution was cooled, with stirring, to -10°C. Triethylamine (32.2 g. 44.35 ml) was added in one portion followed by the dropwise addition of PCI3 (6.7 ml) while maintaining the reaction temperature between -15 to -10°C. The reaction mixture was further stirred for 0.5 h at -15 to -10°C. N-Benzoyl-5'-O-(4,4'-dimethoxytrityl)-2'-deoxycytidine (DMT-Bz-C-OH) (12.4 g, from Transgenomic Bioconsumables Ltd) in THF (347 ml) was added to the reaction mixture over a 1 h period and the mixture was then stirred at -10°C for a further period of 1 h. The reaction mixture was then added to a stirred mixture of triethylamine : H_2O , (1:1, 200 ml) at -10°C over a period of 15 minutes and allowed to warm to room temperature before being transferred to a separating funnel. The bottom layer was discarded while the top layer was concentrated in vacuo. DCM (680 ml) was added to the residue and the resulting solution was washed with TEAP (0.5 M, 2×75 ml). The reaction mixture was concentrated in vacuo to yield 14.75 g of product (94% yield).

Stage 3 Synthesis of N⁴-benzoyl-5'-O-(4,4'-dimethoxytrityl)cytidin-3'-yl-N⁴-benzoyl-2'-deoxy-3'-(4oxopentanoata)-cytidin-5'-vl H-phosphonate (C-C dimer)

Prior to use all glassware was dried in an oven and cooled in a desiccator. N^4 -Benzoyl+5'-O-(4,4'-dimethoxytrityl)-2'-deoxy-3'-(hydrogen phosphate)cytidine triethylammonium salt (DMT-Bz-C-H-Phos) (1.1 g, prepared as described in Stage 2) and SMC 60575

5

10

15

20

25

30

35

M*-berizoyl-2'-deoxy-3'-(4-oxopentancate)cytidine (HO-Bz-C-OLev) (0.5)Transigenomic Bioconsumables Ltd) were dried from an azeotropic mixture with CH_sCN (2 x 25 ml) and toluene (25 ml). The residue was transferred to a 50 ml round-bottomed flask flitted with a nitrogen inlet and dry DMF (10 ml) and dry pyridine (0.56 ml) were added. The mixture was cooled to 0°C and diphenyl chlorophosphate (0.59 ml in dry DCM (3 ml)) was added dropwise over 2 minutes. The reaction was held at 0°C for 15 minutes before being quenched by the addition of pH 7 phosphate buffer (5 ml, supplied by Fisher). Saturated aqueous NaHCO₃ (40 ml) was then added to the mixture followed by DCM (40 ml). The lower organic layer was separated and washed with TEAP (0.5 M, 30 ml) and then dried over Na₂SO₄. The title compound (C-C dimer) was stored as a dried DCM solution over Na₂SO₄ in a nitrogen flushed flask at 4°C to minimise decomposition. Coupling of DMT-Bz-C-H-Phos and HO-Bz-C-OLev to provide the C-C dimer was quantitative by liquid chromatography. However, the C-C dimer, as produced also contained as impurities unreacted pyridine, DMF and (PhO)₂P(O)(OH). Therefore in subsequent experiments the calculated mass of C-C dimer was proportionally increased to compensate for the additional components present within the crude material.

Prior to use the C-C dimer mixture was filtered to remove Na₂SO₄ and concentrated in vacuo.

Stage.4

Preparation of N.O-bis(tert-butyldimethylsilyl)acetamide (BMTBSA)

Prior to use all glassware was dried in an oven and cooled in a desiccator. Acetamide (7.13 g) was charged to a 1L round-bottomed flask fitted with a thermometer, nitrogen inlet and overhead stirrer. Dry triethylamine (340 ml, pre-dried over CaH₂) was added and the solution was cooled to 0°C. TBDMSCI (47.37 g) was then added with vigorous stirring. The reaction mixture was vigorously stirred for 22 h and then filtered under nitrogen using dried glassware before being concentrated in vacue. The resultant сгџde product mixture was distilled using a Kugelrohr apparatus under 0.6 - 0.8 mm Hg pressure and at a temperature of from 85 to 100°C. The distilled material solidified to a white solid (14.85 g) which was postulated to be a 2:1 mixture of the di- and monosilylated acetamide. This was determined from ¹H NMR analysis where the major component was identified as BMTBSA giving signals in agreement with those-reported in the literature (J. Org. Chem, 1982, 47, 3336-3339). The minor component contained one TBDMS functional group with 1H NMR signals consistent with those expected for the mone-silylated acetamide. The mone-silylated acetamide was assumed to be of similar activity to BMTBSA, therefore in subsequent experiments the mass of BMTBSA used was calculated based on the assumption that the crude BMTBSA material was 100% pure.



10

15

20

30

Stage 5 Reaction of the C-C dimer with BMTBSA and PADS

Prior to use all glassware was dried in an oven and cooled in a desiccator. BMTBSA was warmed to melt the solid and was then measured by volume in an air tight syringe which had been heated in the oven immediately prior to use to prevent solidification of the solid (the density of BMTBSA was taken as d=0.869 (*J. Org. Chem.*, 1982, 47, 3336-3339)).

C-C dimer (1.007 g, prepared as described in Example 1, Stage 1 and Stage 2) was charged to a 25 ml round-bottomed flask fitted with nitrogen inlet and dissolved in dry DCM (5 ml). BMTBSA (0.90 ml, 5 equiv, prepared in Example 1, Stage 3) was added to the flask. The reaction mixture was stirred for 5 minutes. PADS (327 mg, 2 equivalents, from Hasegawa Co., Ltd) was then added and the mixture was stirred for a further 5 minutes. During this time the reaction mixture changed from a yellow to a deep purple solution. The reaction mixture was poured onto water (100 ml) and the organic layer was separated. The aqueous layer was further extracted with DCM (3 x 50ml). The organic layers were combined and washed with saturated aqueous NaHCO₃ (2 x 50ml) and brine (2 x 50ml) and dried over Na₂SO₄. Filtration and concentration in vacuo gave 1,82 g of a purple liquid which solidified on standing.

The crude product was analysed by liquid chromatography where the product (1) retention time was 11.1 minutes (17%).

25 Example 2

Reaction of the C-C dimer with BMTBSA and 3-amino-1,2,4-dithiazole-5-thione

Prior to use all glassware was dried in an oven and cooled in a desiccator. C-C dimer (1.007 g, prepared as described in Example 1, Stage 1 and Stage 2) was charged

SMC 60575

to a 25 ml round-bottomed flask fitted with a nitrogen inlet and dissolved in dry DCM (5 ml). BMTBSA (0.90 ml, 5 equiv, prepared in Example 1, Stage 3) was then added to the flask and the reaction mixture was stirred for 5 minutes. 3-Amino-1,2,4-dithiazole-5-thione (162 mg, 2 equivalents from Lancaster) was then added and stirring was continued for a further 5 minutes. The reaction mixture was poured onto water (100 ml) and the organic layer was separated. The aqueous layer was further extracted with DCM (3 x 50ml). Organic layers were combined and washed with saturated aqueous NaHCO₃ (2 x 50ml) and brine (2 x 50ml) and dried over Na₂SO₄. Filtration and concentration in vacuo gave 1,10 g of a pale yellow solid.

The crude product was analysed by liquid chromatography and the main product was identified as compound (1) (68% yield) which had a retention time of 10.9 minutes in the liquid chromatography system described above.

15

10

CLAIMS

- 1. An oligonucleotide comprising at least one internucleotide phosphorus atom protected with a group of formula -X^aSiR^aR^a wherein X^a represent O or S, and R^a, R^a and R^a each independently are optionally substituted hydrocarbyl groups, selected such that that total number of carbon atoms in R^a plus R^a plus R^a is 4 or more.
 - 2. A compound according to claim 1, having the Formula(1):

Formula (1)

wherein

10

25

30

R¹, and:R² indépendently are nucleoside or oligonucleotide moleties;

16 R³, R⁴ and R⁵ each independently are optionally substituted hydrocarbyl groups, selected such that that total number of carbon atoms in R³ plus R⁴ plus R⁵ is 4 or more; X³ represents O or S, preferably O;

each X^t independently is O, S or NRⁿ, where Rⁿ represents H or C_{1.4} alkyl, preferably each X^t being O; and

- 20 X2 is O or S, preferably S.
 - 3. A process for the preparation of a compound of Formula (1) as defined in claim 2, which comprises oxidising or sulfurising a compound of formula (3):

Formula (3)

wherein R1, R2, R3, R4, R5, Xa and X1 are as defined in claim 2.

4. A compound of formula (3):

Formula (3)

- 5 wherein R¹, R², R³, R⁴, R⁵, X^a and X¹ are as defined in claim 2.
 - 5. A compound of formula (4):

R1-X1-P(NR17R18)-X8-SIR8R1R5

10

wherein R^1 , R^3 , R^4 , R^5 , X^8 and X^1 are as defined in claim 2, and R^{17} and R^{16} are each, independently, a substituted or unsubstituted aliphatic group, a substituted or unsubstituted aralkyl or R^{17} and R^{18} taken together with the nitrogen to which they are bound form a heterocyclyl group.

15

20

25

30

35

- A process for the preparation of a compound of formula (1) as defined in claim 1
 which comprises:
- a) coupling a compound of formula (4) as defined in claim 5, with a compound of formula $\mathbb{R}^2 \times^1 \mathbb{H}$ wherein \mathbb{R}^2 and \mathbb{X}^1 are as defined in claim 1, in the presence of an activator; and b) exidising or sulfurising the product of step a).
- 7. A process for the preparation of a compound of formula (3) as defined in claim 4 which comprises coupling a compound of formula (4) as defined in claim 5, with a compound of formula R^2-X^1-H wherein R^2 and X^1 are as defined in claim 1, in the presence of an activator.
- 8.: A process for the preparation of a compound of formula (4) as defined in claim 5, which comprises reacting a compound of formula R¹-X¹-H, wherein R¹ and X¹ are as defined in claim 1 with a compound of formula R³R⁴R⁵Si-X³-P(NR¹¹R¹³)₂ wherein X², R³, R⁴, R⁵, R¹¹ and R¹³ are as defined in claim 5.
- 9. A process for the preparation of a compound of formula (4) wherein X^0 is O which comprises a) reacting a compound of formula R^1-X^1-H , wherein R^1 and X^1 are as defined in claim 1 and a compound of formula $Z-P(NR^{17}R^{19})_2$ wherein R^{17} and R^{18} are as defined in claim 5 and Z represents a leaving group, preferably a chlorine atom, to form a compound of formula $R^1-X^1-P(NR^{17}R^{18})_2$; b) hydrolysing the compound of formula $R^1-X^1-P(NR^{17}R^{18})_2$.

10

 $P(NR^{17}R^{16})_2$ to form a compound of formula $R^1-X^1-PH(=0)(NR^{17}R^{16})$, the hydrolysis preferably taking place in the presence of a weak acid, such as tetrazole, S-ethyltetrazole, or an imidazole salt; and c) reacting the compound of formula $R^1-X^1-PH(=0)(NR^{17}R^{16})$ with a silylating agent of formula $Y^1-SiR^3R^4R^5$ wherein Y^1 is a leaving group, to form the compound of formula (4).

- 10. A process for the preparation of a compound of formula $R^3R^4R^5Si-X^a-P(NR^{17}R^{18})_2$ which comprises reaction of a compound of formula $Z-P(NR^{17}R^{18})_2$ as defined in claim 9, with a compound of formula $H-X^a-SiR^3R^4R^5$, wherein X^a , R^3 , R^4 , and R^5 are as defined in claim 1, preferably in the presence of a base.
- 11. A process for the preparation of a compound of formula $R^3R^4R^5Si-O-P(NR^{17}R^{18})_2$ wherein R^8 , R^4 , and R^5 are as defined in claim 1, and R^{17} and R^{18} are as defined in claim 5 which comprises:
- a) hydrolysis of a compound of formula Z-P(NR¹⁷R¹⁸)₂ wherein Z is as defined in claim 9 to form a compound of formula H-O-P(NR¹⁷R¹⁸)₂; and
 b) reaction of the product of step a) with a compound of formula Y¹-SiR³R⁴R⁶ wherein Y¹ is a leaving group.
- 20 12. A process for the synthesis of an oligonucleotide comprising at least one internucleotide phosphorus atom protected with a group of formula -X¹SiR³R⁴R⁵, wherein X¹ represents O or S, and R³, R⁴ and R⁵ each independently are optionally substituted hydrocarbyl groups, selected such that that total number of carbon atoms in R³ plus R⁴ plus R⁵ is 4 or more, which comprises reacting a sitylating agent of formula Y¹-SiR³R⁴R⁵ wherein Y¹ is a leaving group with an oligonucleotide H-phosphonate diester.

ABSTRACT PROCESS AND COMPOUNDS

Oligonucleotide comprising at least one internucleotide phosphorus atom protected with a group of formula -X^aSiR^aR⁴R⁵ are provided. X^a represent O or S, and R^a, R⁴ and R⁶ each independently are optionally substituted hydrocarbyl groups, selected such that total number of carbon atoms in R^a plus R⁴ plus R⁵ is 4 or more. Process for the preparation of these oligonucleotides, intermediate compounds useful therein, and process for the preparation of the intermediate compounds are also provided.

10

5

POT/GB2004/001196

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

OTHER:

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.